



SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN MATEO  
MULTI OPTION ADR PROJECT  
HALL OF JUSTICE AND RECORDS  
400 COUNTY CENTER  
REDWOOD CITY, CALIFORNIA 94063

## **Instructions for ADR Program Panelist Application**

Thank you for your interest in San Mateo Superior Court's Civil and/or Probate ADR Program. The ADR Program Advisory Committee, which includes representatives of the San Mateo Superior Court, Bar Association and community, reviews panelist applications approximately once a year. Please call for the annual deadline date.

The ADR Program is committed to making available to the public a core group of qualified, dispute resolution professionals who may be privately retained by parties to help in the resolution of their dispute. Although applicants may meet the minimum training and experience requirements outlined in the application, these qualifications represent a floor not a ceiling for panelists. The program must limit the number of qualified panelists who serve on the panel at any one time. Presently, we are looking for individuals who either add to the professional and/or personal diversity of our current panel or who specialize in areas in which there is a demonstrated need for additional panelists.

If you are applying to the court's Probate ADR Program, please add a narrative sheet detailing your probate-related experience. When completing the application, please be as specific as possible, especially with regard to the number of hours of training you have received and the number of mediations and/or arbitrations you have conducted.

In San Mateo Superior Court cases, parties have the choice of either selecting a neutral from our panel or selecting a neutral who is not affiliated with the court's program. The selection of the neutral rests entirely with the parties. Since parties have the option to select whomever they would like to handle their case, the Civil ADR Program acts as a starting point for parties to find qualified neutrals; it does not limit the universe of potential providers.

Feel free to contact us at (650) 261-5075 or (650) 261-5076 should you have any further questions.

Very truly yours,

ADR Staff

**San Mateo Superior Court  
ADR Program Panelist Application  
for Mediation, Binding Arbitration and  
Neutral Evaluation Panels**

Application for: (check one or both)

Civil ADR Program: \_\_\_\_\_ Probate ADR Program \_\_\_\_\_

**I. GENERAL INFORMATION**

Name: \_\_\_\_\_

Current Occupation: \_\_\_\_\_

Address: \_\_\_\_\_

Firm/Office Number: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Length of time employed at firm? \_\_\_\_\_

Facsimile Number: \_\_\_\_\_ E-mail address: \_\_\_\_\_

Best time to call: \_\_\_\_\_

Confidential and Optional: Home address and telephone number: \_\_\_\_\_

**Relevant Experience**

As applicable:

Date admitted to the California Bar: \_\_\_\_\_ ( ) Active ( ) Inactive Bar Number: \_\_\_\_\_

Summarize legal experience (including teaching) particularly during the last five years: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Number of years engaged in active litigation: \_\_\_\_\_

Please indicate the percentage of your practice in the last five years spent representing:

Plaintiff \_\_\_\_\_% Defense \_\_\_\_\_%

Are you licensed in a profession or occupation other than the practice of law? \_\_\_\_\_

Occupation: \_\_\_\_\_ Licensing Agency: \_\_\_\_\_

State: \_\_\_\_\_ License Number: \_\_\_\_\_

Summarize professional experience particularly during the last five years: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Place of Employment

Position

Dates

<u>Place of Employment</u>	<u>Position</u>	<u>Dates</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

**Languages/Bi-Cultural Capabilities**

Languages: \_\_\_\_\_ Bi-cultural capabilities: \_\_\_\_\_

**Degree of Fluency (Comfortable conducting ADR session in foreign language): \_\_\_\_\_**

**II. SUBJECT MATTER AND PROCESS EXPERTISE**

Please indicate no more than seven subject matter areas with which you have expertise:

<b>Subject Matter</b>	<b>Mediation</b>	<b>Private Binding Arbitration</b>	<b>Neutral Evaluation</b>
Personal Injury			
Employment			
Business			
Real Estate/Eminent Domain			
Probate: Estates and/or Conservatorships			
Construction Defect			
Public Agency			
Insurance			
Legal Malpractice			
Medical/Dental Malpractice			
Environmental			
Intellectual Property			
Securities			
Class action/complex litigation			
Other Areas of Subject Matter Expertise (Specify)			

**Working Style**

**Mediators:** Please characterize the primary thrust of your mediation style:

\_\_\_\_\_ Facilitative/Nondirective (does not tend to make substantive evaluations but may make process suggestions)

\_\_\_\_\_ Evaluative/Directive (takes a strong role in leading parties to a solution, more of a settlement conference style)

\_\_\_\_\_ Other/Comments

If you would like to characterize your style for other ADR processes please do so.

**III. TRAINING, EXPERIENCE AND PERFORMANCE REQUIREMENTS**

For mediation panel applicants: Please check off which combination of training and experience (Track A, B or C) you believe qualifies you for inclusion on the Civil ADR Program’s mediation panel. For other panels, check off if you believe you qualify and would like to be considered for inclusion on panel(s).

**MEDIATION** \_\_\_\_\_

**Track A** \_\_\_\_\_ Have completed at least 5 days of continuous participatory education or training including role playing in mediation and Have participated as a mediator or co-mediator in at least 5 mediations.

**Track B** \_\_\_\_\_ Have completed at least 2 days of continuous, participatory education or training including role playing in mediation and Have participated as a mediator or co-mediator in at least 15 mediations.

**Track C** \_\_\_\_\_ Provide other satisfactory evidence of mediation skills and experience.

**PRIVATE ARBITRATION** \_\_\_\_\_

Admitted to the practice of law for at least ten years and Have served as a neutral in at least 5 on site private arbitrations. These requirements may be modified in individual circumstances for good cause.

**NEUTRAL EVALUATION** \_\_\_\_\_

Admitted to the practice of law for at least ten years and, if accepted to the panel, will commit to participating in a 3-5 hour training session offered by MAP.

**ADR Experience as a Neutral**

Indicate ADR process used (mediation, arbitration, neutral evaluation or other), organization, number of cases, case types, number of hours, dates and location. (For example: Santa Clara Superior Court, 7 personal injury mediations, each mediation approx. 5 hours, 2001-2003, Santa Clara County.) Please be as specific as possible with regard to the number of cases and general case type while being careful not to reveal confidential case information.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Add further pages if necessary)

**ADR Training Programs Completed**

**Training:** Organization/Trainer's Name, Number of Hrs/Dates. Indicate Med., Arb. or Neutral Evaluation (For example: Steve Rosenberg, 40 Hour Mediation Training, September 5-8, 2000).

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For arbitration panel applicants, please indicate the number of arbitrations you have conducted which were:  
Binding Arbitrations: \_\_\_\_\_ Non-Binding Judicial Arbitrations: \_\_\_\_\_

Are you currently an ADR neutral? Yes \_\_\_\_\_ No \_\_\_\_\_  
(Check as many as apply)

Private Practice      Firm      Organization      Volunteer Agency      Court

Firm name: \_\_\_\_\_

ADR organization(s): \_\_\_\_\_

Volunteer agency name(s): \_\_\_\_\_

Court approved ADR list (indicate which court ADR programs): \_\_\_\_\_

Other agencies or organizations for which you are an approved neutral: \_\_\_\_\_

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**Education**

School                      Course of Study                      Dates                      Degree/Certificates

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**Membership in Professional Organizations**

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**Professional and/or Personal Diversity**

Please describe what elements of professional and/or personal diversity you would bring to the Civil ADR Program if selected for the panel (please use additional pages if necessary): \_\_\_\_\_

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**References**

Please list only persons with whom you have worked as a **neutral**. Provide three references for **each** panel on which you are applying to serve. We encourage you to be mindful of the confidentiality requirements and to seek prior permission to use these names. (Add pages if necessary)

Attorney: \_\_\_\_\_ or Client: \_\_\_\_\_ in  
a Mediation: \_\_\_\_\_ Arbitration: \_\_\_\_\_ Neutral Evaluation: \_\_\_\_\_ Other (specify): \_\_\_\_\_  
Name: \_\_\_\_\_  
Position: \_\_\_\_\_  
Organization: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Attorney: \_\_\_\_\_ or Client: \_\_\_\_\_ in  
a Mediation: \_\_\_\_\_ Arbitration: \_\_\_\_\_ Neutral Evaluation: \_\_\_\_\_ Other (specify): \_\_\_\_\_  
Name: \_\_\_\_\_  
Position: \_\_\_\_\_  
Organization: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Attorney: \_\_\_\_\_ or Client: \_\_\_\_\_ in  
a Mediation: \_\_\_\_\_ Arbitration: \_\_\_\_\_ Neutral Evaluation: \_\_\_\_\_ Other (specify): \_\_\_\_\_  
Name: \_\_\_\_\_  
Position: \_\_\_\_\_  
Organization: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

**Insurance**

Please identify what insurance coverage you have which will be applicable to ADR services:

Coverage Type: \_\_\_\_\_  
Carrier name: \_\_\_\_\_  
Limits: \_\_\_\_\_

(Insurance coverage may become a requirement for panel membership at some future date.)

Have you ever been convicted of a felony or misdemeanor? \_\_\_\_\_ If so, on a separate sheet of paper please list all convictions since your 18<sup>th</sup> birthday including: offense, date and place of conviction and sentence and the date of release from custody and/or probation/parole. Driving under the influence must be reported.

Have you ever had any disciplinary actions taken against you by any state, federal or professional licensing board/agency? \_\_\_\_\_ If so, please describe the nature of the offense, date of disciplinary action, length of sentence/probation and amount of restitution, if any.

Criminal or disciplinary actions will not automatically bar you from inclusion in the program. Each case is considered individually. However, failure to list criminal convictions or professional disciplinary actions taken against you will result in automatic removal from the program.

**To All Applicants:**

If accepted to the Civil and/or Probate ADR Programs, I consent to:

- Comply with the Rules of Operation including, if necessary, being removed from the panel for failure to comply with the Rules
- Attend a local two-hour training and panelist orientation.
- Disclose to both counsel and parties the mediation approaches you most often utilize (e.g., directive vs. facilitative, a combination of styles, etc.).
- Disclose all fees to counsel and parties.
- Disclose any potential conflicts of interest.
- Be available to conduct ADR sessions in San Mateo County if requested by the parties.
- Agree to handle cases involving self-represented litigants.
- Agree to handle at least one case per calendar year on a pro bono or modest means basis (in keeping with program requirements and California Rule of Court §10.781(b)(2)).
- Fully fill out and return, and encourage ADR participants to fill out and return, evaluation forms within 10 days following the final ADR session (in accordance with Local Rule 2.3(H)(5) and in keeping with California Rule of Court §10.782(b)).
- Report to MAP staff any criminal convictions in which you are involved as well as any disciplinary action taken against you by any state, federal or professional licensing board and/or agency.
- Be available for observation by MAP staff with the consent of counsel and parties.

My signature below certifies that I have made full and accurate disclosure of all information requested in this application form.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Please return application to:

Multi Option ADR Project  
Civil & Probate ADR Program  
San Mateo County Superior Court  
400 County Center,  
Redwood City, CA 94063

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(650) 261-5076